

# Harnessing Speech Prosody for Human-Computer Interaction

Elizabeth Shriberg      Andreas Stolcke

*Speech Technology and Research Laboratory  
SRI International, Menlo Park, CA*

*International Computer Science Institute  
Berkeley, CA*

# Collaborators

- ❑ Lee Stone (NASA); Beth Ann Hockey, John Dowding, Jim Hieronymous (RIACS)
- ❑ Luciana Ferrer (SRI postdoc)
- ❑ Harry Bratt, Kemal Sonmez (SRI)
- ❑ Jeremy Ang (ICSI/UC Berkeley)
- ❑ Emotion labelers: Raj Dhillon, Ashley Krupski, Kai Filion, Mercedes Carter, Kattya Baltodano

# Introduction

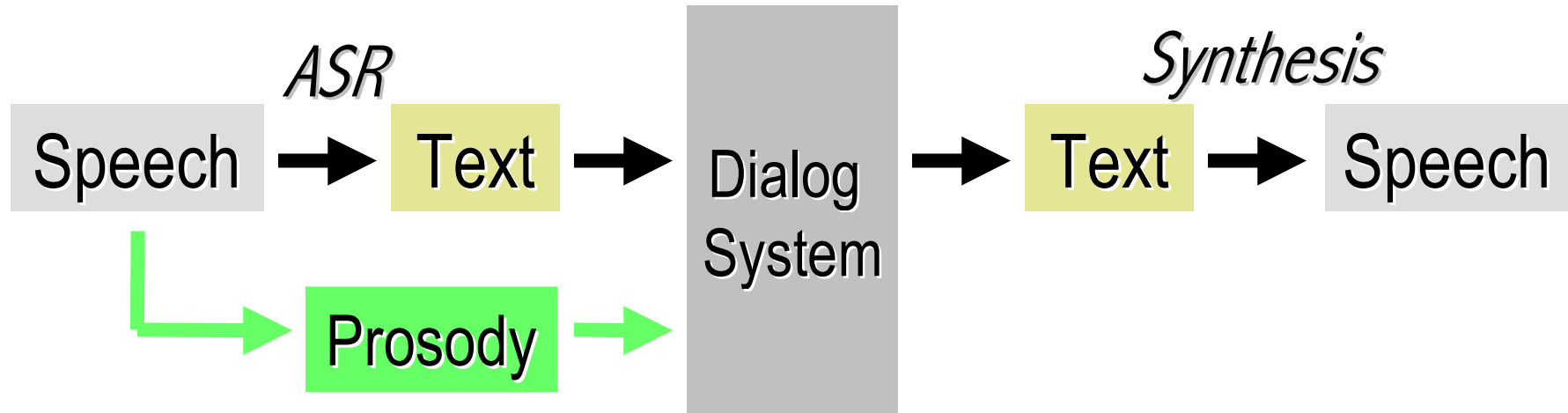
- ❑ **Prosody** = melody, rhythm, “tone” of speech
- ❑ Not **what** words are said, but **how** they are said
- ❑ Human languages use prosody to convey:
  - phrasing and structure (e.g. sentence boundaries)
  - disfluencies (e.g. false starts, repairs, fillers)
  - sentence mode (statement vs question)
  - emotional attitudes (urgency, surprise, anger)
- ❑ Currently largely unused in speech systems

# Talk Outline

- ❑ Project goal and impact for NASA
- ❑ Sample research tasks:
  - Task 1: **Endpointing**
  - Task 2: **Emotion classification**
- ❑ General method
  - language model, prosodic model, combination
  - data and annotations
- ❑ Results
  - **Endpointing:** error trade-offs & user waiting time
  - **Emotion:** error trade-offs & class definition effects
- ❑ Conclusions and future directions

# Project Goal

- Most dialog systems don't use prosody in **input**; they view *speech* simply as “noisy” *text*.



- Our goal: add prosodic information to system input.

# Today: Two Sample Tasks

- Task 1: Endpointing (detecting end of input)
  - current ASR systems rely on pause duration  
*measure temperature at . . . cargo bay . . .*
  - causes premature cut-off during hesitations
  - wastes time waiting after actual boundaries
- Task 2: Emotion detection
  - word transcripts don't indicate user state  
*measure the -- STOP!! GO BACK!!*
  - alert computer to immediately change course
  - alert other humans to danger, fatigue, etc.

# Other Tasks in Project

- Automatic sentence punctuation:
  - Don't go to flight deck!*
  - Don't! Go to flight deck!* (DO go to flight deck)
- Detection of utterance mode:
  - Computer: Confirm opening of hatch number 2
  - Human: *Number 2 . /?* (confirmation or question?)
- Detection of disfluencies:
  - Item three one five one two* (item 31512 or 512?)

# Method: Prosodic Modeling

- Pitch is extracted from acoustic signal
- Speech recognizer identifies phones, words, and their durations
- Pitch and duration information is combined to compute distinctive *prosodic features* (e.g., Was there a pitch fall/rise in last word?)
- *Decision trees* are trained to detect desired events from features
- Separate test set used to evaluate classifier performance



# Method: Language Models

- Words can also predict events of interest, using N-gram language models.
- Endpointing -- predict endpoint probability from last two words:  $P(\text{endpoint} \mid \text{word}_{-1}, \text{word}_{-2})$
- Emotion detection -- predict from all words in sentence:  $P(\text{word}_1, \text{word}_2, \dots, \text{word}_n \mid \text{emotion})$
- $P > \text{threshold} \Rightarrow$  system detects event
- Prosodic classifier and LM predictions can be combined for better results (multiply predictions)

# Task 1: Endpointing in ATIS

- ❑ Air Travel Information System = Dialog task defined by DARPA to drive research in spoken dialog systems
- ❑ Users talk to a (simulated) air travel system
- ❑ Simulated endpointing “after the fact”
- ❑ About 18,000 utterances, 10 words/utterance
- ❑ Test set of 1974 unseen utterances
- ❑ 5.9% word error rate on test set

# Endpointing Algorithms

- Baseline algorithm:
  - Pick pause threshold for decision
  - Detect endpoint when pause duration  $>$  threshold
- Endpointing with prosody and/or LM:
  - Pick probability threshold for decision
  - Train separate classifiers for pause values  $>$  .03, .06, .09, .12, .25, .50, .80 seconds
  - For each pause threshold:
    - Detect endpoint if classifiers predicts probability  $>$  threshold
    - Otherwise wait until next higher pause threshold is reached
  - Detect endpoint when pause  $>$  1s

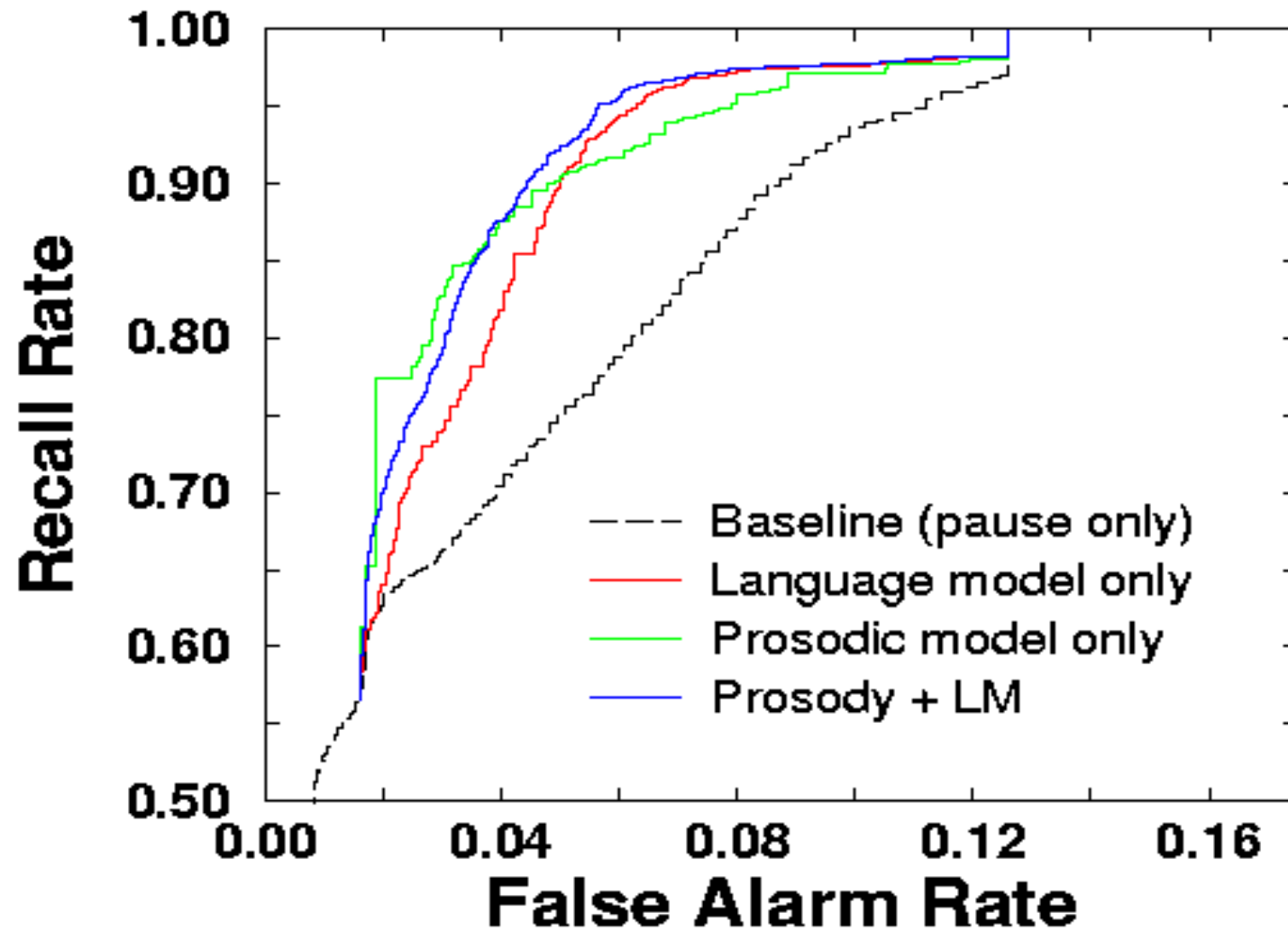
# Endpointing Metrics

- Performance metrics:
  - False alarms: system detects false endpoint
  - Misses: system fails to detect true endpoint
  - Recall = % of true endpoints detected  
=  $1 - \text{Miss rate}$
- Error trade-off
  - System can be set more or less "trigger-happy"
  - Fewer false negatives  $\Leftrightarrow$  More false positives
  - Equal error rate (EER): error rate at which false alarms = misses
- ROC curve: graphs recall vs. false alarms



# ATIS Endpointing Results

- ❑ Endpointer used automatic recognition output (5.9% WER. Note: LM degrades with WER).
- ❑ Equal Error Rates
  - Baseline: pause threshold 8.9 %
  - Prosodic decision tree only 6.7 %
  - Language model only 6.0 %
  - Prosody + LM combined 5.3 %
- ❑ Prosody alone beats baseline
- ❑ Combined classifier better than LM alone

# ROC for Endpointing in ATIS



# ATIS Examples

- *Do you have* ↑ *a flight* ↑ *between* ↑ *Philadelphia* ↑ *and San Francisco?* ↑ 
  - Baseline makes false endpoints at the ↑ locations (so would cut speaker off prematurely)
  - Prosody model waits, despite the pause, because pitch doesn't move much, stays high (hesitation)
  
- *I would like to find the cheapest* ↑ *one-way fare from Philadelphia to Denver.* ↑ 
  - Prosody mistakenly predicts endpoint (“?” rise)
  - Combined prosody and LM endpointer avoids false endpoint (rare to end on “cheapest”).

# Prosodic Cues for Endpointing

## □ Pitch range

- speaker close to his/her estimated F0 “baseline” or “topline” (logratio of fitted F0 in previous word to that measure)
- baseline/topline estimated by LTM model of pitch

## □ Phone and syllable durations

- last vowel or syllable rhyme is extended
- normalized for both the segmental content (intrinsic duration) and the speaker



# Endpointing Speed-Up

- User Waiting Time = average pause delay needed for system to detect true endpoints
- In addition to preventing false alarms, prosody reduces UWT for any given false alarm rate:

<b><u>False Alarms</u></b>	<b><u>2%</u></b>	<b><u>4%</u></b>	<b><u>6%</u></b>	<b><u>8%</u></b>	<b><u>10%</u></b>
Baseline	.87	.54	.38	.26	.15
Tree only	.82	.32	.18	.10	.09
Tree + LM	.69	.23	.10	.06	.05

- Result: zippier interaction with system


# Endpointing in a NASA Domain

- ❑ Personal Satellite Assistant: Dialog system controlling a (simulated) on-board robot
- ❑ Developed at NASA Ames/RIACS
- ❑ Data courtesy of Beth Ann Hockey
- ❑ Endpointer trained on ATIS, tested on 3200 utterances recorded at RIACS
- ❑ Used transcribed words
- ❑ "Blind test": no training on PSA data!

# Endpointing in PSA Data

- ❑ ATIS language model not applicable, not used for endpointing
- ❑ PSA data had no utterance-internal pauses  $\Rightarrow$  baseline and prosodic model had same EER = 3.1% (no opportunity for false alarms)
- ❑ However: prosody still **saves time**:  
UWT (in seconds) at 2% false positive rate
  - Baseline 0.170
  - Prosodic tree 0.135
- ❑ Prosodic model is portable to new domains!

# PSA Example

- *Move to commander's seat and measure radiation* ↑   
Wave Sound
- Baseline and prosody system both configured (via decision thresh.) for 2% false alarm rate
- As noted earlier, no error diffs for this corpus
- But baseline system takes 0.17s to endpoint after last word.
- Prosody system takes only 0.04s to endpoint!

# Task 2: Emotion Detection

- ❑ Issue of data: used corpus of HC telephone dialogs labeled for emotion for DARPA project
- ❑ Would like more realistic data, with fear, etc.
- ❑ DARPA data: main emotion = frustration
- ❑ Each dialog labeled by 2+ people independently
- ❑ 2nd “Consensus” pass for all disagreements, by two of the same labelers.

# Labeled Classes

- ❑ **Emotion:** neutral, annoyed, frustrated, tired/disappointed, amused/surprised,
- ❑ **Speaking style:** hyperarticulation, perceived pausing between words or syllables, raised voice
- ❑ **Repeats and corrections:** repeat/rephrase, repeat/rephrase with correction, correction only
- ❑ **Miscellaneous useful events:** self-talk, noise, non-native speaker, speaker switches, etc.

# Emotion Samples


## □ Neutral

- *July 30*  1
- *Yes*  2



## □ Disappointed/tired

- *No*  6





## □ *Amused/surprised*

- *No*  7

## □ Annoyed

- *Yes*  3
- *Late morning (HYP)*  8

## □ Frustrated

- *Yes*  4
- *No*  5
- *No, I am ... (HYP)*  9
- *There is no Manila...*  10

# Results: Annoy/Frust vs All Others

	Accuracy (%) (chance = 50%)	Kappa (Acc-C)/(1-C)
Each Human with Other Human, overall	71.7	.38
Human with Human “Consensus” (biased)	84.2	.68
Prosodic Decision Tree with Consensus	75.6	.51
Tree with Consensus, no repeat/correction	72.9	.46
Tree with Consensus, repeat/correction only	68.7	.37
Language Model features only	63.8	.28



# Results (cont.)

- ❑ H-H labels agree 72%, **complex decision task**
  - inherent continuum
  - speaker differences
  - relative vs. absolute judgements
- ❑ H labels agree 84% with “consensus” (biased)
- ❑ Tree model agrees 76% with consensus-- *better than original labelers with each other*
- ❑ Prosodic model makes use of a dialog state feature, but without it it's still better than H-H
- ❑ Language model features alone are not good predictors (dialog feature alone is better)

# Baseline Prosodic Tree

duration feature pitch feature other feature

```
REPCO in ec2,rr1,rr2,rex2,inc,ec1,rex1 : 0.7699 0.2301 AF
|
| MAXFO_IN_MAXV_N < 126.93: 0.4735 0.5265 N
| MAXFO_IN_MAXV_N >= 126.93: 0.8296 0.1704 AF
| |
| | MAXPHDUR_N < 1.6935: 0.6466 0.3534 AF
| | |
| | | UTTPOS < 5.5: 0.1724 0.8276 N
| | | UTTPOS >= 5.5: 0.7008 0.2992 AF
| | |
| | | MAXPHDUR_N >= 1.6935: 0.8852 0.1148 AF
|
| REPCO in 0 : 0.3966 0.6034 N
|
| UTTPOS < 7.5: 0.1704 0.8296 N
| UTTPOS >= 7.5: 0.4658 0.5342 N
| |
| | VOWELDUR_DNORM_E_5 < 1.2396: 0.3771 0.6229 N
| | |
| | | MINFOTIME < 0.875: 0.2372 0.7628 N
| | | MINFOTIME >= 0.875: 0.5 0.5 AF
| | | |
| | | | SYLRATE < 4.7215: 0.562 0.438 AF
| | | | |
| | | | | MAXFO_TOPLN < -0.2177: 0.3942 0.6058 N
| | | | | MAXFO_TOPLN >= -0.2177: 0.6637 0.3363 AF
| | | | |
| | | | | SYLRATE >= 4.7215: 0.2816 0.7184 N
| | |
| | | VOWELDUR_DNORM_E_5 >= 1.2396: 0.5983 0.4017 AF
| | | |
| | | | MAXPHDUR_N < 1.5395: 0.3841 0.6159 N
| | | | |
| | | | | MINFOTIME < 0.435: 0.1 0.9 N
| | | | | MINFOTIME >= 0.435: 0.4545 0.5455 N
| | | | | |
| | | | | | RISERATIO_DNORM_E_5 < 0.69872: 0.3284 0.6716 N
| | | | | | RISERATIO_DNORM_E_5 >= 0.69872: 0.6111 0.3889 AF
| | | |
| | | | MAXPHDUR_N >= 1.5395: 0.6728 0.3272 AF
```

# Predictors of Annoyed/Frustrated

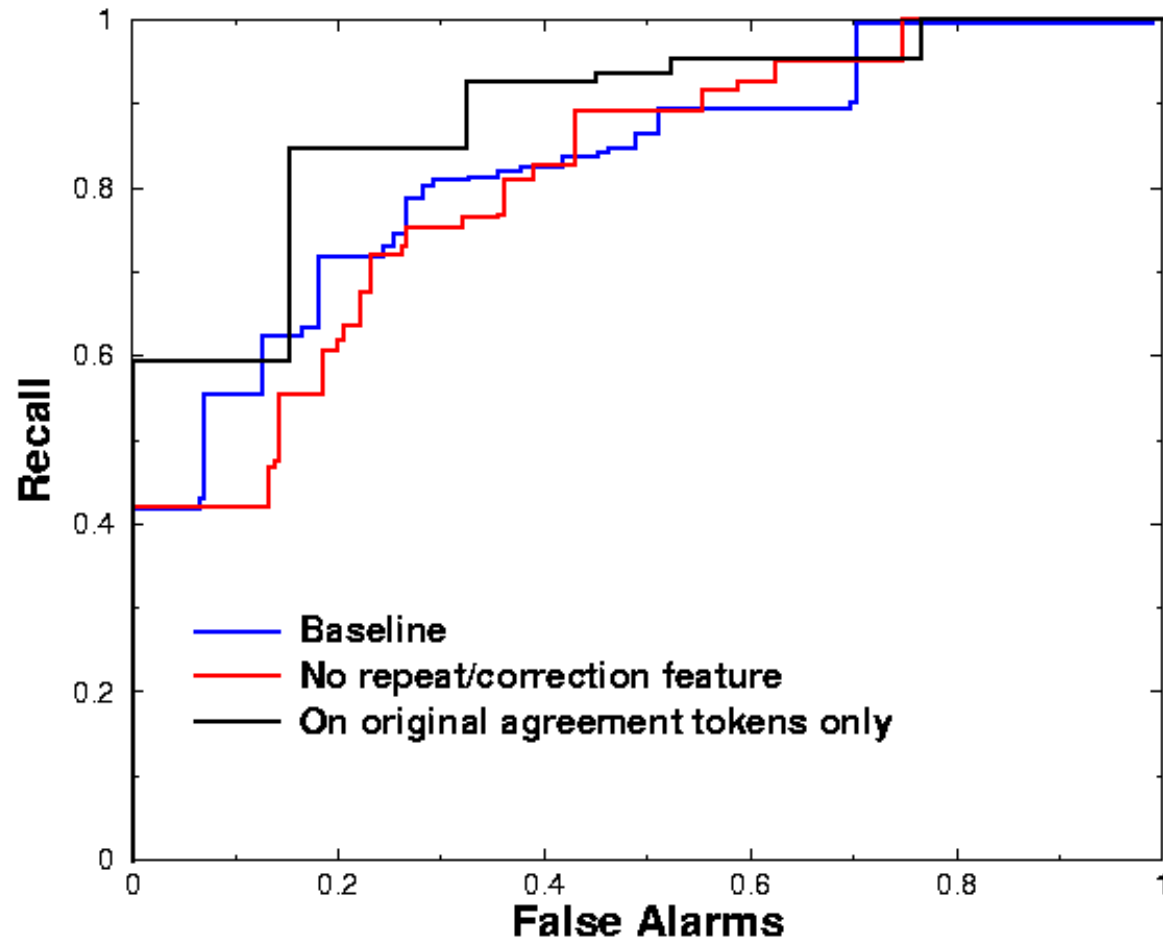
- Prosodic: Pitch features:
  - high maximum fitted F0 in longest normalized vowel
  - high speaker-norm. (1st 5 utts) ratio of F0 rises/falls
  - maximum F0 close to speaker's estimated F0 "topline"
  - minimum fitted F0 late in utterance (no "?" intonation)
  
- Prosodic: Duration and speaking rate features
  - long maximum phone-normalized phone duration
  - long max phone- & speaker- norm.(1st 5 utts) vowel
  - low syllable-rate (slower speech)
  
- Other:
  - utterance is repeat, rephrase, explicit correction
  - utterance is after 5-7th in dialog

# Effect of Class Definition

	Accuracy (%) (chance = 50%)	Entropy Reduction
Baseline prosody model Consensus labels A,F vs. N,else	75.6	21.6
Tokens on which labelers originally agreed A,F vs. N,else	78.3	26.4
All tokens Consensus labels F vs. A,N,else	82.7	37.0

For **less ambiguous** or **more extreme** tokens,  
performance is significantly better than our baseline

# Error trade-offs (ROC)



# Results Summary

- ❑ Prosody allows significantly **more accurate** (fewer false cut-offs) and **faster** endpointing in spoken input to dialog systems.
- ❑ Prosodic endpointer is portable to new applications. (Note: language model is not!)
- ❑ Prosody significantly improves detection of frustration over (cheating) language model.
- ❑ Prosody is of further value when combined with lexical information, regardless of which model is better on its own.

# Impact and Future Work

- ❑ Prosody enables more accurate spoken language processing by capturing information “beyond the words”.
- ❑ Prosody creates new capabilities for systems (e.g., emotion detection)
- ❑ Prosody can speed up HCI (e.g., endpointing).
- ❑ Prosody presents potential for fusion with other communication modalities, such as vision.

# Thank You